

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: John G. Malkovich

Mailing Address: c/o Redstone Accounting Services, Inc., 25 Sylvan Rd. South - Building B

City: Westport State: CT Zip: 06880

Account No: 1ZB237

Taxpayer I.D. Number (Social Security No.): Redacted

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008**:

a. The Broker owes me a Credit (Cr.) Balance of \$ 0

b. I owe the Broker a Debit (Dr.) Balance of \$ 0

c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, **it must be enclosed**  
with this claim form. \$ N/A

d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | YES               | NO                |
|---|-------------------|-------------------|
| a. The Broker owes me securities        | <u>✓</u>          | <u>          </u> |
| b. I owe the Broker securities          | <u>          </u> | <u>✓</u>          |
| c. If yes to either, please list below: |                   |                   |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)

See Nov. 30, 2008 1ZB237 statements, attached hereto as Exhibit A.

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\$ 9,964,307.69 (market value of securities long, per 1ZB237-3 statement)

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\$ 348,150.00 (market value of securities long, per 1ZB237-4 statement)

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(\$ 491,630.00) (market value of securities short, per 1ZB237-4 statement)

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TOTAL: \$ 9,820,827.69

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**Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.**

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

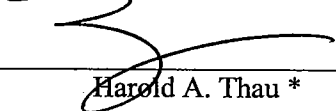
	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	_____✓
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	_____✓
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	_____✓
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s).	_____	_____✓
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	_____✓
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	_____✓
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	_____	_____✓

Please list the full name and address of anyone assisting you in the preparation of this claim form: Matthew A. Kupillas, Esq., Milberg LLP, One Pennsylvania Plaza, New York, NY 10119

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 6/9/09 Signature   
Harold A. Thau \*

Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,  
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

\* Harold A. Thau is Attorney-in-Fact for John G. Malkovich. Documentation showing Mr. Thau's authority as Attorney-in-Fact for John G. Malkovich is attached hereto as Exhibit C.

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

JOHN G MALKOVICH

25 SYLVAN RD SO BLDG B  
WESTPORT CT 06880

PERIOD ENDING  
**11/30/08**

YOUR ACCOUNT NUMBER  
**1-ZB237-3-0**

YOUR TAX PAYE  
**\*\*\*\*\***

PAGE  
**1**

ER  
**Protected**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	8,862		1392	BALANCE FORWARD		625,400.93	
11/12	6,330		1894	HELLS FARGO & CO NEW	29.800	264,441.60	
11/12	5,486		5718	HEWLETT PACKARD CO	34.900	221,170.00	
11/12	3,587		6220	WAL-MART STORES INC	55.830	306,502.38	
11/12	13,293		10044	INTERNATIONAL BUSINESS MACHS	87.270	313,180.49	
11/12	14,559		10546	EXXON MOBIL CORP	72.880	969,324.84	
11/12	6,963		14872	INTEL CORP	14.510	211,833.09	
11/12	9,495		19197	JOHNSON & JOHNSON	59.580	415,133.54	
11/12	5,064		23523	J.P. MORGAN CHASE & CO	38.530	366,221.35	
11/12	2,954		27849	COCA COLA CO	44.660	226,360.24	
11/12	5,486		32175	MCDONALDS CORP	55.370	163,680.98	
11/12	20,045		36501	MERCK & CO	28.550	156,844.30	
11/12	10,128		40827	MICROSOFT CORP	21.810	437,982.45	
11/12	4,009		53805	ORACLE CORPORATION	17.300	175,619.40	
11/12	2,321		54307	PEPSICO INC	56.410	226,307.69	
11/12	17,091		58131	APPLE INC	100.780	234,002.38	
11/12	4,009		58633	PFIZER INC	16.940	290,204.54	
11/12	7,596		62457	ABBOTT LABORATORIES	54.610	219,091.49	
11/12	2,743		62959	PROCTER & GAMBLE CO	64.080	487,054.68	
11/12	5,275		66783	AMGEN INC	59.160	162,384.88	
11/12	12,660		67285	PHILLIP MORRIS INTERNATIONAL	43.600	230,201.00	
11/12	4,220		71109	BANK OF AMERICA	21.590	273,835.40	
11/12	13,715		71611	QUALCOMM INC	33.770	142,677.40	
11/12				CITI GROUP INC	12.510	172,122.65	
				CONTINUED ON PAGE	2		

JOHN G MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

PERIOD ENDING 11/30/08  
PAGE 2  
YOUR ACCOUNT NUMBER 1-ZB237-3-0  
YOUR TAX PAYER ID \*\*\*\*\*  
Redacted

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	3,165		75435	SCHLUMBERGER LTD	49.480	156,730.20	
11/12	7,596		75937	COMCAST CORP CL A	16.510	125,712.96	
11/12	14,981		79761	AT&T INC	27	405,086.00	
11/12	3,798		80263	CONOCOPHILIPS	52.510	199,583.98	
11/12	2,532		84087	UNITED PARCEL SVC INC CLASS B	52.040	131,866.28	
11/12	15,403		84589	CISCO SYSTEMS INC	16.730	258,308.19	
11/12	4,431		88413	U S BANCORP	29.530	131,024.43	
11/12	5,275		88915	CHEVRON CORP	73.430	387,554.25	
11/12	2,532		92739	UNITED TECHNOLOGIES CORP	53.160	134,702.12	
11/12	26,797		93241	GENERAL ELECTRIC CO	19.630	527,096.11	
11/12	7,174		97065	VERIZON COMMUNICATIONS	30.410	218,447.34	
11/12	633		97567	GOOGLE	337.400	213,599.20	
11/12		9,600,000	23998	U S TREASURY BILL DUE 2/12/2009	99.936		9,593,856.00
11/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/12/08	DIV		26.45
11/12		40,183	19102	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		40,183.00
11/12	35,555		28470	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	35,555.00	
11/19				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 11/19/08	DIV		4.36
				CONTINUED ON PAGE 3			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

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PERIOD ENDING 11/30/08

YOUR ACCOUNT NUMBER 1-ZB237-3-0  
YOUR TAX PAYER IDENTIFICATION NUMBER \*\*\*\*\*  
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25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		35,555	54004	FIDELITY SPARTAN	1		35,555.00
11/19	650,000		58438	U S TREASURY MONEY MARKET	99.926	649,519.00	
11/19	18,197		63040	U S TREASURY BILL DUE 03/26/2009 3/26/2009	1	18,197.00	
				FIDELITY SPARTAN		1,214,934.95	
				U S TREASURY MONEY MARKET			
				NEW BALANCE			
				SECURITY POSITIONS	MKT PRICE		
	14,981			AT&T INC	28.560		
	4,009			ABBOTT LABORATORIES	52.390		
	2,743			AMGEN INC	55.540		
	2,321			APPLE INC	92.670		
	12,660			BANK OF AMERICA	16.250		
	5,275			CHEVRON CORP	79.010		
	15,403			CISCO SYSTEMS INC	16.540		
	13,715			CITI GROUP INC	8.290		
	5,064			COCA COLA CO	46.870		
	7,596			COMCAST CORP	17.340		
				CL A			
	3,798			CONOCOPHILIPS	52.520		
	13,293			EXXON MOBIL CORP	80.150		
	26,797			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
 Madoff Securities International Limited  
 12 Berkeley Street  
 Mayfair, London W1J 8DT  
 Tel 020 7493 6222

885 Third Avenue  
 New York, NY 10022  
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 800 334-1343  
 Fax (212) 838-4061

**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York □ London

JOHN G MALKOVICH

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YOUR ACCOUNT NUMBER  
**1-7B237-3-0**

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YOUR TAX IDENTIFICATION NUMBER  
**Redacted**

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	633		GOOGLE	292.960		
	6,330		HEWLETT PACKARD CO	35.280		
	14,559		INTEL CORP	13.800		
	3,587		INTERNATIONAL BUSINESS MACHS	81.600		
	9,495		J-P. MORGAN CHASE & CO	31.660		
	6,963		JOHNSON & JOHNSON	58.580		
	2,954		MCDONALDS CORP	58.750		
	5,486		MERCK & CO	26.720		
	20,045		MICROSOFT CORP	20.220		
	10,128		ORACLE CORPORATION	16.090		
	4,009		PEPSICO INC	56.700		
	17,091		PFIZER INC	16.430		
	5,275		PHILLIP MORRIS INTERNATIONAL	42.160		
	7,596		PROCTER & GAMBLE CO	64.350		
	4,220		QUALCOMM INC	33.570		
	3,165		SCHLUMBERGER LTD	50.740		
	18,197		FIDELITY SPARTAN	1		
	4,431		U S TREASURY MONEY MARKET	26.980		
	2,532		U S BANCORP	57.600		
	650,000		UNITED PARCEL SVC INC	99.971		
	2,532		CLASS B	48.530		
			U S TREASURY BILL			
			DUE 03/26/2009			
			3/26/2009			
			UNITED TECHNOLOGIES CORP			
			CONTINUED ON PAGE 5			





**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

25 SYLVAN RD SO BLDG B  
WESTPORT CT 06880

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

PERIOD ENDING  
11/30/08

YOUR TAX PAYER ID  
\*\*\*\*\*9

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	ITEM	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY			
				DIVIDENDS			70,343.89
				GROSS PROCEEDS FROM SALES			62,575,101.73

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
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 12 Berkeley Street  
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**BERNARD L. MADOFF**  
 INVESTMENT SECURITIES LLC  
 New York ☐ London

JOHN G MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
 WESTPORT

PERIOD ENDING: 11/30/08  
 YOUR ACCOUNT NUMBER: 1-ZB237-4-0  
 YOUR TAX PAYER IDENTIFICATION NUMBER: \*\*\*\*\*  
 PAGE: 1  
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			625,401.80
11/12	211		45153	S & P 100 INDEX NOVEMBER 460 CALL	15.800		333,169.00
11/12	211		49479	S & P 100 INDEX NOVEMBER 450 PUT	17.800	375,791.00	
11/19		211	35583	S & P 100 INDEX DECEMBER 430 CALL	26		548,389.00
11/19	211		39908	S & P 100 INDEX DECEMBER 420 PUT	30	633,211.00	
11/19	211		44233	S & P 100 INDEX NOVEMBER 460 CALL	3	63,511.00	
11/19		211	48558	S & P 100 INDEX NOVEMBER 450 PUT	37		780,489.00
				NEW BALANCE			1,214,999.80
				SECURITY POSITIONS			
				S & P 100 INDEX	MKT PRICE		
				DECEMBER 430 CALL	23.300		
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG			348,150.00
				SHORT			491,630.00-

# **EXHIBIT B**

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on the information provided in the Claimant's latest Madoff account statement and any additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law and equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by the applicable law, the Claimant does not consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

Claimant: *John G. Malkovich (Account no. 1ZB237)*

10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. During the lifetime of the account, the Claimant may have contributed and/or withdrawn sums of money. The Claimant's final account balance set forth in Exhibit A takes into account any such contributions and/or withdrawals. Claimant continues to search for additional documents relating to such contributions or withdrawals and reserves the right to supplement this submission if additional documents are found.
13. The Claimant submits herewith documents in support of the Claimant's claim. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents if deemed necessary. Below is a list of the documents submitted herewith:

**2008**

- BMIS account statements for time period ending 11/30/08.
- BMIS account statements for time period ending 10/31/08.
- BMIS account statements for time period ending 09/30/08.

# EXHIBIT C

## Customer Claim Pg 16 of 37

Notice: The powers granted by this document are broad and sweeping. They are defined in Connecticut Statutory Short Form Power of Attorney Act, Sections 1-42 to 1-56, inclusive, of the general statutes, which expressly permits the use of any other or different form of power of attorney desired by the parties concerned.

**Know All Men by These Presents**, which are intended to constitute a **GENERAL POWER OF ATTORNEY** pursuant to Connecticut Statutory Short Form Power of Attorney Act:

That I **JOHN G. MALKOVICH** c/o Redstone Accounting Services, Inc.  
(insert name and address of the principal) P.O. Box 5106 Westport, CT 06881

do hereby appoint **Harold A. Thau**  
(insert name and address of the agent, or each agent, if more than one is designated)

0536 Meadowood Drive  
Aspen, CO 81611

**my attorney(s)-in-fact TO ACT**

If more than one agent is designated and the principal wishes each agent alone to be able to exercise the power conferred, insert in this blank the word "severally". Failure to make any insertion or the insertion of the word "jointly" will require the agents to act jointly.

**First: in my name, place and stead in any way which I myself could do, if I were personally present, with respect to the following matters as each of them is defined in the Connecticut Statutory Short Form Power of Attorney Act to the extent that I am permitted by law to act through an agent:**

[Strike out and initial in the opposite box any one or more of the subdivisions as to which the principal does NOT desire to give the agent authority. Such elimination of any one or more of subdivisions (A) to (L), inclusive, shall automatically constitute an elimination also of subdivision (M).]

To strike out any subdivision the principal must draw a line through the text of that subdivision AND write his initials in the box opposite.

- (A) real estate transactions; ]
- (B) chattel and goods transactions; ]
- (C) bond, share and commodity transactions; ]
- (D) banking transactions; ]
- (E) business operating transactions; ]
- (F) insurance transactions; ]
- (G) estate transactions; ]
- (H) claims and litigations; ]
- (I) personal relationships and affairs; ]
- (J) benefits from military service; ]
- (K) records, reports and statements; [ ]
- (L) health care decisions; [ ]
- (M) all other matters; [ ]





Second: with full and unqualified authority to delegate any or all of the foregoing powers to any person or persons whom my attorney(s)-in-fact shall select.

Third: Hereby ratifying and confirming all that said attorney(s) or substitute(s) do or cause to be done.

Fourth: To induce any third Party to act hereunder, I hereby agree that any third Party receiving a duly executed copy or facsimile of this instrument may act hereunder, and that revocation or termination hereof shall be ineffective as to such third party unless and until actual notice or knowledge of such revocation or termination shall have been received by such third party, and I for myself and for my heirs, executors, legal representatives and assigns, hereby agree to indemnify and hold harmless any such third party from and against any and all claims that may arise against such third party by reason of such third party having relied on the provisions of this instrument.

This power of attorney shall not be affected by the subsequent disability or incompetence of the principal.

In Witness Whereof, I have hereunto signed my name and affixed my seal this.....

day of May, 1999.

Witnessed by:

John G. Malkovich (Seal)  
JOHN G. MALKOVICH (Signature of Principal)

STATE OF CONNECTICUT,  
COUNTY OF Fairfield

ss.:

5/13 1999

Personally appeared John G. Malkovich

foregoing instrument, and acknowledged the same to be His free act and deed, before me,

Signer and Sealer of the

James J. Condee Notary Public  
Commissioner of the Superior Court  
Comm. Expires - 5/28/2002

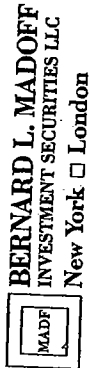
Power of Attorney  
Statutory Short Form.

JOHN G. MALKOVICH

HAROLD A. THAU

Dated

May 13, 1999



**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

**JOHN G. MALXOVICH**

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
**Madoff Securities International Limited**  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

(300,000)  
(100,000)

PERIOD ENDING	9/30/08
YOUR ACCOUNT NUMBER	1-ZB237-3-0
YOUR TAX RATE	*****
PAGE	1
MEMBER	Reflected

08-01789 Cgm Doc 38001-23 Filed 12/29/10 Entered 12/29/10 13:20:55 Exhibit B -

DATE	BOUGHT RECEIVED/DELONG	SELL DELIVERED/ON SHORT	TRIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/02				BALANCE FORWARD		234,841.94	
9/09				WAL-MART STORES INC DIV 8/15/08 9/02/08	DIV		1,163.89
9/09				JOHNSON & JOHNSON DIV 8/26/08 9/09/08	DIV		2,720.00
9/10				UNITED PARCEL SVC INC CLASS B DIV 8/25/08 9/09/08	DIV		988.00
9/10				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 09/10/08	DIV		10,000.00
9/10				CHEVRON CORP DIV 8/19/08 9/10/08	DIV		2,740.00
9/10		19,269	84788	UNITED TECHNOLOGIES CORP DIV 8/15/08 9/10/08	DIV		640.95
9/10	1,365		89889	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		19,269.00
9/11	50,000		91189	FIDELITY SPARTAN U S TREASURY MONEY MARKET DUE 12/18/2008	1	1,365.00	
9/11				MICROSOFT CORP DIV 8/21/08 9/11/08	99-559	49,779.50	
				CONTINUED ON PAGE 2	DIV		1,840.00

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



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JOHN G. MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

PAGE  
2

PERIOD ENDING  
9/30/08

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

YOUR TAXPAYER IDENTIFICATION NUMBER  
\*\*\*\*\* Redacted

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

08-01789-cgm Doc 300150

DATE	BOUGHT NEEDED OR LONG	SOLD DEIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/11	36,242		95052	FIDELITY SPARTAN U S TREASURY MONEY MARKET CHECK	1	✓ 36,242.00	
9/12				FIDELITY SPARTAN U S TREASURY MONEY MARKET DIV 09/12/08	1	300,000.00	✓ 37,607.00
9/12		37,607	8487	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		✓ 49,799.50
9/12		50,000	8502	U S TREASURY BILL DUE 12/18/2008	99.599		
9/16				MCDONALDS CORP DIV 9/02/08 9/16/08	DIV		886.20
9/17		8,281	11044	ORACLE CORPORATION	19.310		159,575.21
9/17		1,859	12239	APPLE INC	150.770		280,207.43
9/17		3,380	15342	PEPSICO INC	72.460		✓ 244,779.80
9/17		3,211	16537	ABBOTT LABORATORIES	58.960		✓ 189,191.56
9/17		14,196	19640	PFIZER INC	18.360		✓ 260,871.16
9/17		5,577	20800	AMERICAN INTL GROUP INC	15.290		✓ 85,045.13
9/17		6,422	23938	PROCTER & GAMBLE CO	72.780		✓ 467,137.10
9/17		2,366	25085	AMGEN INC	63.320		✓ 149,721.12
9/17		4,394	28236	PHILLIP MORRIS INTERNATIONAL	54.170		✓ 237,847.90
9/17		9,971	29382	BANK OF AMERICA	33.040		✓ 329,045.88
9/17		3,380	32534	QUALCOMM INC	48.390		✓ 163,421.20
9/17		11,661	33680	CITI GROUP INC	18.220		✓ 211,997.48
				CONTINUED ON PAGE 3			

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of 37



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PAGE  
3

9/30/08

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

YOUR TAX PAYER NUMBER  
\*\*\*\*\* Redacted

08-01780

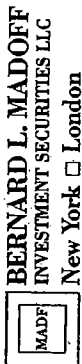
DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT/DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17		2,535	36832	SCHLUMBERGER LTD	87.210		220,976.85
9/17		3,211	37978	CONOCOPHILIPS	72.510		232,701.71
9/17		12,844	41130	AT&T INC	31.370		402,403.28
9/17		12,506	42276	CISCO SYSTEMS INC	23.050		287,763.19
9/17		2,197	45410	UNITED PARCEL SVC INC	67.860		149,001.42
9/17		4,225	46576	CLASS B			
9/17		1,014	49656	CHEVRON CORP	83.210		351,393.25
9/17		21,125	50872	UNITED TECHNOLOGIES CORP	63.720		64,572.09
9/17		6,084	53947	GENERAL ELECTRIC CO	27.440		578,825.09
9/17		507	55170	VERIZON COMMUNICATIONS	34.570		210,080.88
9/17		7,267	58245	GOOGLE	432.200		219,105.40
9/17		845	59468	WELLS FARGO & CO NEW	33.390		242,355.13
9/17		4,901	62543	GOLDMAN SACHS GROUP INC	154.700		130,688.58
9/17		5,239	63766	WAL-MART STORES INC	62.470		305,962.00
9/17		10,985	66841	HEWLETT PACKARD CO	46.880		245,395.32
9/17		2,873	68064	EXXON MOBIL CORP	76.080		835,295.80
9/17		12,168	72362	INTERNATIONAL BUSINESS MACHS	118.800		341,198.46
9/17		5,915	76660	INTEL CORP	19.970		242,508.48
9/17		7,436	80957	JOHNSON & JOHNSON	71.150		420,615.25
9/17		4,225	85255	J.P. MORGAN CHASE & CO	40.930		304,058.46
9/17		2,366	89553	COCA COLA CO	53.790		247,093.75
9/17		4,563	93851	MCDONALDS CORP	64.430		152,347.81
9/17		16,731	98149	MERCK & CO	34.160		155,690.04
9/17			85359	MICROSOFT CORP	27.420		458,095.07
9/17	9,700,000			U S TREASURY BILL	99.511		
9/17				DUE 2/12/2009			
9/17				2/12/2009			
9/17				CONTINUED ON PAGE 4			
9/17						9,652,567.00	

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Exhibit B -



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JOHN & MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

Affiliated with  
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Mayfair, London W1J 8DT  
Tel 020 7493 6222

PERIOD ENDING: 9/30/08  
PAGE: 4

YOUR ACCOUNT NUMBER: 1-ZB237-3-0  
YOUR TAX ID: \*\*\*Redacted\*\*\*  
NUMBER:

DATE	BOUGHT RECEIVED	SOLD DELIVERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/17	13,478		89657	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	✓ 13,478.00	1,226.94
9/19				AMERICAN INTL GROUP INC DIV 9/05/08 9/19/08	DIV		2.68
9/22				CHECK	CN	✓ 100,000.00	
9/22				FIDELITY SPARTAN U S TREASURY MONEY MARKET	DIV		
9/22		13,478	91439	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1		✓ 13,478.00
9/22		100,000	97462	U S TREASURY BILL DUE 2/12/2009	99-656		✓ 99,458.00
9/22	12,937		91522	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	✓ 12,937.00	6,381.24
9/26				BANK OF AMERICA DIV 9/05/08 9/26/08	DIV		548.98
9/26				QUALCOMM INC DIV 8/29/08 9/26/08	DIV		1,436.50
9/30				PEPSICO INC DIV 9/05/08 9/30/08	DIV		
9/30	20,582		97784	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	✓ 20,582.00	
				NEW BALANCE		625,400.60	
				SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1		
	35,519			U S TREASURY MONEY MARKET			
				CONTINUED ON PAGE 5			

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**JOHN G. MALKOVICH**

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

YOUR ACCOUNT NUMBER: **1-ZB237-3-0**

YOUR TAX: **\*\*\* Redacted \*\*\***

9/30/08

PAGE: **5**

Madoff Securities International Limited  
12 Berkeley Street  
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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	THIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	9,600,000			U S TREASURY BILL DUE 2/12/2009	99.610		
				2/12/2009			
				MARKET VALUE OF SECURITIES LONG			
				9,595,979.00			
				SHORT			

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BERNARD L. MADOFF  
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JOHN S. MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
 WESTPORT

PERIOD ENDING: 9/30/08  
 YOUR ACCOUNT NUMBER: 1-ZB237-4-0  
 YOUR TAXPAYER IDENTIFICATION NUMBER: \*\*\*\*\*Redacted\*\*\*\*\*  
 PAGE: 1

DATE	BOUGHT RECEIVED-OR LONG	SOLD DELIVERED-OR SHORT	TERMS	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
9/10	169		74917	BALANCE FORWARD	1-050	17,904.00	234,842.00
9/11		169	79442	S & P 100 INDEX SEPTEMBER 600 CALL	5-100		86,021.00
9/17		169	2448	S & P 100 INDEX SEPTEMBER 600 CALL	20		337,831.00
9/17	169		6746	S & P 100 INDEX SEPTEMBER 590 PUT	-900	15,379.00	
				NEW BALANCE			625,401.00

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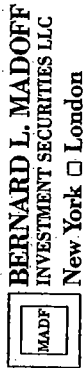
10/31/08

1-7B237-3-0

\*\*\*\*\*Redacted

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRANS	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/01				BALANCE FORWARD		625,400.60	
10/01				COCA COLA CO. DIV 9/15/08 10/01/08	DIV		1,605.50
10/01				HEWLETT PACKARD CO DIV 9/10/08 10/01/08	DIV		419.02
10/03				MERCK & CO DIV 9/05/08 10/01/08	DIV		1,733.94
10/10				SCHLUMBERGER LTD DIV 9/03/08 10/03/08	DIV		532.35
10/31	6,664		10279	PHILIP MORRIS INTERNATIONAL DIV 9/15/08 10/10/08	DIV		2,370.76
				FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	6,664.00	
				NEW BALANCE		625,400.93	
	40,183			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE		
	9,600,000			U S TREASURY MONEY MARKET	1		
				U S TREASURY BILL	99.879		
				DUE 2/12/2009			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			

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JOHN G. MALKOVICH

25 SYLVAN RD SO BLDG B  
WESTPORT CT 06880

PAGE: 2

PERIOD ENDING: 10/31/08

YOUR TAX ID: \*\*\*\*\*

YOUR ACCOUNT NUMBER: 1-ZB237-3-0

AMOUNT CREDITED TO YOUR ACCOUNT

AMOUNT DEBITED TO YOUR ACCOUNT

PRICE OR SYMBOL

DESCRIPTION

DATE

BOUGHT/RECEIVED OR LONG

SOLD/DELIVERED OR SHORT

YEAR-TO-DATE SUMMARY

DIVIDENDS

GROSS PROCEEDS FROM SALES

70,312.68

52,981,245.03

Customer Claim

Filed 12/29/10

Entered 12/29/10 13:20:55

Pg 26 of 37

Exhibit B -

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

**JOHN MALKOVICH**  
PENSION PLAN AND TRUST  
C/O HAROLD A THAU  
25 SYLVAN RD SO BLDG B  
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PERIOD ENDING: **10/31/08**  
YOUR ACCOUNT NUMBER: **1-ZB131-3-0**  
YOUR TAX/PAYEE: **Redacted**  
PAGE: **1**

DATE	BOUGHT RECEIVED/ON LONG	SOLD DELIVERED/ON SHORT	TEB	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
10/01				BALANCE FORWARD		131,003.96	
10/01				COCA COLA CO DIV 9/15/08 10/01/08	DIV		342.08
10/01				HEWLETT PACKARD CO DIV 9/10/08 10/01/08	DIV		89.28
10/03				MERCK & CO DIV 9/05/08 10/01/08	DIV		363.04
10/03				SCHLUMBERGER LTD DIV 9/03/08 10/03/08	DIV		113.40
10/03				PHILLIP MORRIS INTERNATIONAL DIV 9/15/08 10/03/08	DIV		500.77
10/31	1,419		10264	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	1,419.00	
				NEW BALANCE		131,003.48	
	66,476			SECURITY POSITIONS FIDELITY SPARTAN	MKT PRICE 1		
	2,125,000			U S TREASURY MONEY MARKET U S TREASURY BILL DUE 2/12/2009	99.879 2/12/2009		
				MARKET VALUE OF SECURITIES LONG 2,188,904.75			
				SHORT			

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**JOHN G. MALKOVICH**

25 SYLVAN RD SU BLDG B CT 06880  
WESTPORT

PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-ZB237-3-0  
YOUR TAX PAYE \*\*\*\*\*Redacted\*\*\*\*\*  
PAGE 1

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	8,862		1392	BALANCE FORWARD		625,400.93	
11/12	6,330		1894	HELLS FARGO & CO NEW	29.800	264,441.60	
11/12	5,486		5718	HEWLETT PACKARD CO	34.900	221,170.00	
11/12	3,587		6220	WAL-MART STORES INC	55.830	306,502.38	
11/12	13,293		10044	INTERNATIONAL BUSINESS MACHS	87.270	313,180.49	
11/12	14,559		10546	EXXON MOBIL CORP	72.880	969,324.84	
11/12	6,963		14872	INTEL CORP	14.510	211,833.09	
11/12	9,495		19197	JOHNSON & JOHNSON	59.580	415,133.54	
11/12	5,064		23523	J.P. MORGAN CHASE & CO	38.530	366,221.35	
11/12	2,954		27849	COCA COLA CO	44.660	226,360.24	
11/12	5,486		32175	MCDONALDS CORP	55.370	163,680.98	
11/12	20,045		36501	MERCK & CO	28.550	156,844.30	
11/12	10,128		40827	MICROSOFT CORP	21.810	437,982.45	
11/12	4,009		53805	ORACLE CORPORATION	17.300	175,619.40	
11/12	2,321		54307	PEPSICO INC	56.410	226,307.69	
11/12	17,091		58131	APPLE INC	100.780	234,002.38	
11/12	4,009		58633	PFIZER INC	16.940	290,204.54	
11/12	7,596		62457	ABBOTT LABORATORIES	54.610	219,091.49	
11/12	2,743		62959	PROCTER & GAMBLE CO	64.080	487,054.68	
11/12	5,275		66783	AMGEN INC	59.160	162,384.88	
11/12	12,660		67285	PHILLIP MORRIS INTERNATIONAL	43.600	230,201.00	
11/12	4,220		71109	BANK OF AMERICA	21.590	273,835.40	
11/12	13,715		71611	QUALCOMM INC	33.770	142,677.40	
11/12				CITI GROUP INC	12.510	172,122.65	
				CONTINUED ON PAGE 2			

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

PERIOD ENDING  
11/30/08

PAGE  
2

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

YOUR TAX PAYER  
\*\*\*\*\* Redacted

AMOUNT CREDITED  
TO YOUR ACCOUNT

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT CREDITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	3,165		75435	SCHLUMBERGER LTD	49.480	156,730.20	
11/12	7,596		75937	COMCAST CORP	16.510	125,712.96	
11/12	14,981		79761	CL A			
11/12	3,798		80263	AT&T INC	27	405,086.00	
11/12	2,532		84087	CONOCOPHILIPS	52.510	199,583.98	
11/12				UNITED PARCEL SVC INC	52.040	131,866.28	
11/12	15,403		84589	CLASS B			
11/12	4,431		88413	CISCO SYSTEMS INC	16.730	258,308.19	
11/12	5,275		88915	U S BANCORP	29.530	131,024.43	
11/12	2,532		92739	CHEVRON CORP	73.430	387,554.25	
11/12	26,797		93241	UNITED TECHNOLOGIES CORP	53.160	134,702.12	
11/12	7,174		97065	GENERAL ELECTRIC CO	19.630	527,096.11	
11/12	633		97567	VERIZON COMMUNICATIONS	30.410	218,447.34	
11/12		9,600,000	23998	GOOGLE	337.400	213,599.20	
11/12				U S TREASURY BILL	99.936		9,593,856.00
11/12				DUE 2/12/2009			
11/12				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		26.45
11/12			19102	U S TREASURY MONEY MARKET			
11/12		40,183		DIV 11/12/08			
11/12				FIDELITY SPARTAN	1		40,183.00
11/12				U S TREASURY MONEY MARKET			
11/12	35,555		28470	FIDELITY SPARTAN	1	35,555.00	
11/12				U S TREASURY MONEY MARKET			
11/12				FIDELITY SPARTAN			
11/12				U S TREASURY MONEY MARKET			
11/12				DIV 11/19/08	DIV		4.36
11/19				CONTINUED ON PAGE 3			

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WESTPORT CT 06880

11/30/08

3

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

YOUR TAX PAYER IDENTIFICATION  
\*\*\*\*\*Redacted

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		35,555	54004	FIDELITY SPARTAN	1		35,555.00
11/19	650,000		58438	U S TREASURY MONEY MARKET U S TREASURY BILL DUE 03/26/2009	99.926	649,519.00	
11/19	18,197		63040	FIDELITY SPARTAN U S TREASURY MONEY MARKET NEW BALANCE	1	18,197.00	
	14,981			SECURITY POSITIONS	MKT PRICE	1,214,934.95	
	4,009			AT&T INC	28.560		
	2,743			ABBOTT LABORATORIES	52.390		
	2,321			AMGEN INC	55.540		
	12,660			APPLE INC	92.670		
	5,275			BANK OF AMERICA	16.250		
	15,403			CHEVRON CORP	79.010		
	13,715			CISCO SYSTEMS INC	16.540		
	5,064			CITI GROUP INC	8.290		
	7,596			COCA COLA CO	46.870		
				COMCAST CORP	17.340		
				CL A			
	3,798			CONOCOPHILIPS	52.520		
	13,293			EXXON MOBIL CORP	80.150		
	26,797			GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

JOHN G. MALKOVICH

25 SYLVAN RD SO BLDG B CT 06880  
WESTPORT

PERIOD ENDING 11/30/08  
YOUR ACCOUNT NUMBER 1-ZB237-3-0  
YOUR TAX PAYE \*\*\*\*\*  
PAGE 4  
INDEX *Redacted*

DATE	BOUGHT RECEIVED OR LONG	SOLO DETERMINED/SHORT	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	633		GOOGLE	292.960		
	6,330		HEWLETT PACKARD CO	35.280		
	14,559		INTEL CORP	13.800		
	3,587		INTERNATIONAL BUSINESS MACHS	81.600		
	9,495		J.P. MORGAN CHASE & CO	31.660		
	6,963		JOHNSON & JOHNSON	58.580		
	2,954		MCDONALDS CORP	58.750		
	5,486		MERCK & CO	26.720		
	20,045		MICROSOFT CORP	20.220		
	10,128		ORACLE CORPORATION	16.090		
	4,009		PEPSICO INC	56.700		
	17,091		PFIZER INC	16.430		
	5,275		PHILLIP MORRIS INTERNATIONAL	42.160		
	7,596		PROCTER & GAMBLE CO	64.350		
	4,220		QUALCOMM INC	33.570		
	3,165		SCHLUMBERGER LTD	50.740		
	18,197		FIDELITY SPARTAN	1		
	4,431		U S TREASURY MONEY MARKET			
	2,532		U S BANCORP	26.980		
			UNITED PARCEL SVC INC	57.600		
	650,000		CLASS B	99.971		
			U S TREASURY BILL			
			DUE 03/26/2009			
			3/26/2009			
	2,532		UNITED TECHNOLOGIES CORP	48.530		
			CONTINUED ON PAGE 5			



**BERNARD L. MADOFF**  
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New York ☐ London

25 SYLVAN RD. SO BLDG B  
WESTPORT CT 06880

PERIOD ENDING  
11/30/08

PAGE 6

YOUR ACCOUNT NUMBER  
1-ZB237-3-0

**\*\*\*Redacted\*\*\***

**NUMBER**

[illegible]

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PERIOD ENDING **11/30/08** PAGE **1**

YOUR TAX PAY **\*\*\*\$** **Added**

YOUR ACCOUNT NUMBER **1-ZB237-4-0**

DATE	BOUGHT REGISTERED	SOLD UNREGISTERED	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12				BALANCE FORWARD			625,401.00
11/12	211		45153	S & P 100 INDEX NOVEMBER 460 CALL	15.800		333,169.00
11/12			49479	S & P 100 INDEX NOVEMBER 450 PUT	17.800	375,791.00	
11/19	211		35583	S & P 100 INDEX DECEMBER 430 CALL	26		548,385.00
11/19			39908	S & P 100 INDEX DECEMBER 420 PUT	30	633,211.00	
11/19	211		44233	S & P 100 INDEX NOVEMBER 460 CALL	3	63,511.00	
11/19			48558	S & P 100 INDEX NOVEMBER 450 PUT	37		780,485.00
				NEW BALANCE			1,214,995.00
				SECURITY POSITIONS			
	211			S & P 100 INDEX DECEMBER 430 CALL	MKT PRICE 23.300		
				S & P 100 INDEX DECEMBER 420 PUT	16.500		
				MARKET VALUE OF SECURITIES			
				LONG			348,150.00
				SHORT			491,630.00

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